



06/18/04

Attorney's Docket No.: 10634-005001 / UCHI 848

1616

161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ching Song et al.  
Serial No. : 10/072,128  
Filed : February 8, 2002  
Title : STERIODAL DERIVATIVES

Art Unit : 1616  
Examiner : Barbara P. Badio

JUN 23 2004

TECH CENTER 1600/2900

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

Applicants note that references "AH," "AI," and "AJ" are published versions of commonly owned, co-pending US applications.

Applicants filed an Information Disclosure Statement on May 20, 2003, which included non-English language documents listed as "BI," "BP," "CH," and "CI" on the accompanying PTO-1449. Pursuant to MPEP § 609, Applicants submit herewith an English language version of a search report which indicates the degree of relevance found by the foreign office to fulfill the requirement for a concise explanation of relevance for non-English language documents "BI," "BP," "CH," and "CI" cited in Applicants' earlier filed Information Disclosure Statement.

No fee is believed due as this statement is being filed after the filing of a Request for Continued Examination under 37 C.F.R. 1.114 but before mailing of a first Office Action on the merits.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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June 17, 2004

Date of Deposit

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Page : 2 of 2

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Please apply any charges or credits to deposit Account No. 06-1050, referencing Attorney  
Docket No.: 10634-005001.

Respectfully submitted,

Date:

June 17, 2004

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Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
10634-005001Application No.  
10/072,128**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Ching Song et al.

JUN 23 2004

Filing Date  
February 8, 2002Group Art Unit  
1616

TECH CENTER 1600/2000

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,887,545	06/03/1975	Iacobelli et al.	260	239.55 R	
	AB	5,466,815	11/14/1995	Enhnen et al.	548	252	
	AC	5,508,453	04/16/1996	Arosio et al.	552	553	
	AD	5,639,744	06/17/1997	Marchi et al.	514	176	
	AE	6,465,258 B1	10/15/2002	Shan et al.	436	501	
	AF	6,639,078 B1	10/28/2003	Haffner et al.	546	272.1	
	AG	6,645,955 B1	11/11/2003	Liao et al.	514	182	
	AH	US-2002-0193357-A1	12/19/2002	Song et al.	514	169	
	AI	US-2003-0139385-A1	07/24/2003	Song et al.	514	182	
	AJ	US-2004-0014734-A1	01/22/2004	Song et al.	514	169	

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	00/66611	11/09/2000	WIPO				
	AL	02/090375	11/14/2002	WIPO				
	AM	02/062302	08/15/2002	WIPO				
	AN	03/039480	05/15/2003	WIPO				
	AO	03/086303	10/23/2003	WIPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AP	Susan Budavari, EDITOR, <u>The Merck Index, An Encyclopedia of Chemicals, Drugs, and Biologicals</u> , 11 <sup>th</sup> Edition, published by Merck & Co., Inc., pp. 396, 574, 1225-1226 (1989).
	AQ	Edwards et al., "BAREing it all: the adoption of LXR and FXR and their roles in lipid homeostasis", <u>J. Lipid Res.</u> , Vol. 43, pp. 2-12 (2002).
	AR	Makishima et al., "Identification of a Nuclear Receptor for Bile Acids", <u>Science</u> , Vol. 284, pp. 362-365 (1999).
	AS	Roda et al., "New 6-substituted bile acids: physico-chemical and biological properties of 6 $\alpha$ -methyl ursodeoxycholic acid and 6 $\alpha$ -methyl-7-epicholic acid", <u>J. Lipid Res.</u> , Vol. 35, pp. 2268-2279 (1994).

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 10634-005001	Application No. 10/072,128
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant Ching Song et al.	
				Filing Date February 8, 2002	
				Group Art Unit 1616	TECH CENTER 1600/2900
<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>					
Examiner Initial	Desig. ID	Document			
	AT	Roda et al., "Structure-Activity Relationship Studies on Natural and Synthetic Bile Acid Analogs", <u>Dig. Dis. and Sci.</u> , Vol. 34, No. 12, pp. 24S-35S (1989).			
	AU	Runong Wang et al., "Chemical Product Manual", the third version, Pharmaceuticals, Chemical Industry Publishing House, pp. 740 (January 1999).			

Examiner Signature	Date Considered
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